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ENT & TRADEMA
REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/266,237
Filing Date	March 10, 1999
First Named Inventor	WARREN M. FARNWORTH
Group Art Unit	2829
Examiner Name	KOBERT, R.
Attorney Docket Number	97-1433

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29). Instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1 Submission required under 37 C.F.R. § 1.114

TO 2800 MAIL ROOM APR 15 2012

a. Previously submitted
 i. Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
 (Any unentered amendment(s) referred to above will be entered).
 ii. Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
 iii. Other _____

b. Enclosed
 i. Amendment/Reply
 ii. Affidavit(s)/Declaration(s)
 iii. Information Disclosure Statement (IDS)
 iv. Other **Petition for Extension of Time**

2. **Miscellaneous**
 a. Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
 b. Other _____

3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

a. The Director is hereby authorized to charge the following fees, or credit any overpayments, to
 Deposit Account No. **07-1857** 04/12/2002 AUSLABAF1 00000110 03256237
 i. RCE fee required under 37 C.F.R. § 1.17(e) 01 07-1857 740.00 0P
 ii. Extension of time fee (37 C.F.R. §§ 1.136 and 1.17) 02 07-1857 160.00 0P
 iii. Other _____

b. Check in the amount of \$ **1018** enclosed

c. Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print /Type)		Stephen A. Gratton	Registration No. (Attorney/Agent)	28,418
Signature		March 28, 2002		

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Office Use Only
Name (Print/Type) **Stephen A. Gratton** Date **March 28, 2002**
Signature 
Comments: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the use of this form should be directed to the Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. Do not send comments to the Office of the Commissioner.

Signature _____

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

WARREN M. FARNWORTH
SALMAN AKRAM

Serial No. 09/266,237

Filing Date: March 10, 1999

For: TEST INTERCONNECT FOR BUMPED
SEMICONDUCTOR COMPONENTS AND
METHOD OF FABRICATION

Attorney Docket No. 97-1433

Art Unit: 2829

Examiner: KOBERT, R

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Revised
4/16/01
4/18/01

**RESPONSE SUBMITTED WITH REQUEST FOR CONTINUED
EXAMINATION (RCE) UNDER 37 CFR §1.114**

March 28, 2002

Assistant Commissioner For Patents
BOX RCE
Washington, D.C. 20231

Sir:

This Response is being filed with a "Request For Continued Examination (RCE)". This Response is in response to the final Office Action dated November 20, 2001, and to the Advisory Action dated February 28, 2002.

A Response to the final Office Action was also filed on January 16, 2001, which was within two months of the mailing date of final Office Action. Accordingly, the shortened statutory period for response expired on the date of the Advisory Action (February 28, 2002). This Response is being filed within 30 days of the Advisory Action, such that a one month Petition For Extension of Time, which extends the period for response until March 28, 2002, is also being filed.

APR 11 2002

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1018.00)
Complete if Known

Application Number	09/266,237
Filing Date	March 10, 1999
First Named Inventor	WARREN M. FARNWORTH
Examiner Name	KOBERT, R.
Group Art Unit	2829
Attorney Docket No.	97-1433

METHOD OF PAYMENT (check all that apply)
 Check Credit card Money Order Other None
XX Deposit Account:

Deposit Account Number: **07-1857**
 Deposit Account Name: **Stephen A. Gratton**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee
 to the above-identified deposit account.

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Extra Claims	Fee from below	Fee Paid
Total Claims		
Independent Claims	-20** = 2 X 84 = 168	
Multiple Dependent	-3** = 1 X 84 = 84	

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 168)

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	
105 130	205 65	Surcharge - late filing fee or oath
127 50	227 25	Surcharge - late provisional filing fee or cover sheet
139 130	139 130	Non-English specification
147 2,520	147 2,520	For filing a request for ex parte reexamination
112 920*	112 920*	Requesting publication of SIR prior to Examiner action
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action
115 110	215 55	Extension for reply within first month
116 400	216 200	Extension for reply within second month
117 920	217 460	Extension for reply within third month
118 1,440	218 720	Extension for reply within fourth month
128 1,960	228 980	Extension for reply within fifth month
119 320	219 160	Notice of Appeal
120 320	220 160	Filing a brief in support of an appeal
121 280	221 140	Request for oral hearing
138 1,510	138 1,510	Petition to institute a public use proceeding
140 110	240 55	Petition to revive - unavoidable
141 1,280	241 640	Petition to revive - unintentional
142 1,280	242 640	Utility issue fee (or reissue)
143 460	243 230	Design issue fee
144 620	244 310	Plant issue fee
122 130	122 130	Petitions to the Commissioner
123 50	123 50	Processing fee under 37 CFR 1.17(q)
126 180	126 180	Submission of Information Disclosure Stmt
581 40	581 40	Recording each patent assignment per property (times number of properties)
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))
179 740	279 370	Request for Continued Examination (RCE)
169 900	169 900	Request for expedited examination of a design application
Other fee (specify) _____		
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$ 860)

Complete if applicable

SUBMITTED BY	Registration No. (Attorney/Agent)	Telephone
Name (Print/Type)	Stephen A. Gratton	303 989 6353
Signature		Date 3/28/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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